Robust $L_2$ Disturbance Attenuation for Nonlinear Systems with Input Dynamical Uncertainty

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This paper deals with the problem of robust $L_2$ disturbance attenuation for nonlinear systems with input dynamical uncertainty. The input dynamical uncertainty is restricted to be minimum-phase and relative degree zero. A sufficient condition is given such that the nonlinear system satisfies the $L_2$ gain performance and input-to-state stable (ISS) property. Using this condition, a design approach is given for smooth state feedback control law that solves the robust $L_2$ disturbance attenuation problem, and the approach is extended to more general case where the nominal system has higher relative degree. Finally, a numerical example is given to demonstrate the proposed approach.

Keywords: Input dynamical uncertainty, $L_2$ disturbance attenuation, Robust control, Input-to-state stability.

1. Introduction

In the last decade, there has been renewal of interest in developing systematic design methodologies for control of nonlinear systems. For the systems forced by disturbance, the attention was focused on the $L_2$ disturbance attenuation problem. In the early stage, a solution to this problem is given based on positive definite solution of Hamilton-Jacobi Inequality (HJI) (1)(2)(5). Recently, it has been shown by (6)(11) that if the penalty signal is of particular form, the $L_2$ disturbance attenuation problem can be solved by directly constructing a storage function.

For uncertain nonlinear systems, robust $L_2$ feedback controller based on the extended HJI was proposed by (8)(13), and the constructive design method has been extended to parametric uncertain system (9)(18) and to the systems with gain bounded uncertainty (14)(15) . Also, the case where the penalty signal includes the control input term has been addressed in (17)(18) by employing the constructive design method.

However, in the $L_2$ disturbance attenuation approaches, the stability was considered only for the system unforced by the disturbance. As is well-known, in nonlinear systems, the asymptotical stability of free system does not necessarily imply the boundedness of the state when the system is forced by bounded disturbance (12). Indeed, for describing this boundedness property of a system under bounded input, the notion of ISS has been proposed by (7), and it has been shown that a necessary and sufficient condition for ISS can be given by a dissipation inequality (9)(12). Using this result, we are able to put the ISS specification to the dissipation inequality-based $L_2$ disturbance attenuation approach. Recently, along this research line, the $L_2$ disturbance attenuation with ISS property has been studied by (12).

On the other hand, in the field of robust control of nonlinear systems, the attention has been focused on a broader class of uncertainties. Robust control of nonlinear systems with input dynamical uncertainty has been investigated by many researchers (see (10)(19) and the references therein). In (10), a dynamical state feedback control law is proposed to solve the robust stabilization problem under the assumption that the uncertainty is minimum-phase and relative degree zero. However, the approach requires a priori knowledge about the stability margin of the uncertainty. A static feedback control law is designed by (19). In (19), it has been shown that the nonlinear systems with input dynamical uncertainty can be transformed into feedback loop structure, and the state feedback stabilizing control law is given based on gain assignment techniques (21), which is a successful application of the small gain theorem.

In this paper we focus our attention on robust $L_2$ disturbance attenuation problem for nonlinear systems with input dynamical uncertainty. The uncertainty considered in this paper is the same class as shown in (19). However, our goal is not only robust stability but also robust $L_2$ gain performance and ISS property. Then, a feedback controller will be derived that solves the robust $L_2$ problem. Furthermore, the design method will be extended to more general system with relative degree larger than one. Finally, we will show a numerical example.

2. Preliminaries

We consider the systems with input dynamical uncertainty described by the following form

\[
\begin{align*}
\dot{x} &= f(x) + g_1(x)w + g_2(x)v \\
z &= h(x) \\
v &= c(\xi) + u \\
\dot{\xi} &= A(\xi) + bu
\end{align*}
\]

(1)

where $x \in \mathbb{R}^n$, $w \in \mathbb{R}^r$ and $z \in \mathbb{R}^q$ denote the state,
disturbance and penalty signal, respectively. \( f(x), g_1(x), g_2(x) \) and \( h(x) \) are known smooth vector fields, \( f(0) = 0, h(0) = 0 \). \( \xi \in R^p \) is the state of uncertain dynamics driven by the control input \( u \in R \). \( v \in R \) is the output of the uncertainty. \( A(\cdot) \) and \( c(\cdot) \) are unknown vector field and function vanishing at the origin, respectively, and \( b \) is an unknown vector bounded by \( \|b\| \leq b_0 \), where \( b_0 \) is a known constant.

If there is no uncertain dynamics in the path from \( u \) to \( x \), i.e., \( u = v \), the system (1) is represented by

\[
\begin{aligned}
\dot{x} &= f(x) + g_1(x)w + g_2(x)u \\
\dot{z} &= h(x)
\end{aligned}
\tag{2}
\]

We call this system as the nominal system of (1), and we say the uncertainty is admissible, if the input dynamical uncertainty satisfies the condition described in the next section (see A1).

For the system (1), the robust \( L_2 \) disturbance attenuation problem with ISS is given as follows. For any given \( \gamma > 0 \), find a smooth feedback control law

\[
u = \alpha(x)
\tag{3}
\]

with \( \alpha(0) = 0 \) such that for all admissible input dynamical uncertainty, the following conditions are satisfied.

[P1] The closed-loop system is input-to-state stable with respect to the disturbance input \( w \).

[P2] The closed-loop system has \( L_2 \) gain less than or equal to \( \gamma \), from the disturbance input \( w \) to the penalty output \( z \), i.e. for \( x(0) = 0 \) and \( \xi(0) = 0 \),

\[
\int_0^T \|z(t)\|^2 dt \leq \gamma^2 \int_0^T \|w(t)\|_*^2 dt, \ \forall w \quad \tag{4}
\]

holds, where \( T > 0 \) is any given scalar.

[P3] The origin \( (x, \xi) = (0, 0) \) of the free system unforced by the disturbance is globally asymptotically stable.

In order to obtain our main result, first, we consider the system described by

\[
\begin{aligned}
\dot{x} &= f_2(x) + g_2(x)w \\
\dot{z} &= h_2(x)
\end{aligned}
\tag{5}
\]

where \( x \in R^p \), \( w \in R^r \), \( z \in R^q \), \( f_2(x) \), \( g_2(x) \) and \( h_2(x) \) are smooth vector fields, \( f_2(0) = 0, h_2(0) = 0 \).

As is well-known (7), the system (5) is said to be ISS, if there exist a \( K_c^\infty \) function \( \beta \) and a \( K_c^\infty \) function \( \chi \) such that

\[
\|x(t)\| \leq \beta(\|x(0)\|, t) + \chi(\|w\|), \ \forall t \geq 0 \quad \tag{6}
\]

holds for any \( w \).

According to the necessary and sufficient condition given in (5), the system (5) is ISS if and only if there exist \( K_c^\infty \) functions \( \lambda \) and \( \kappa \), such that the inequality

\[
\frac{\partial V}{\partial x} [f_2(x) + g_2(x)w] \leq \lambda(\|w\|) - \kappa(\|x\|), \ \forall x
\tag{7}
\]

holds for any \( w \).

Moreover, by the relation between \( L_2 \) gain and \( \gamma \)-dissipativity, the system (5) satisfies (4) for any given \( T > 0 \), if there exists a positive definite function \( V(x) \) such that the following inequality is satisfied.

\[
\frac{\partial V}{\partial x} [f_2(x) + g_2(x)w] \leq \frac{1}{2} \{ \gamma^2 \|w\|^2 - \|z\|^2 \}, \ \forall w
\tag{8}
\]

Comparing the dissipation inequalities (7) and (8), it is obvious that the system (5) has \( L_2 \) gain, which is less than or equal to \( \gamma > 0 \), with ISS, if the positive definite function \( V(x) \) satisfies

\[
\frac{\partial V}{\partial x} [f_2(x) + g_2(x)w] \leq \frac{1}{2} \{ \gamma^2 \|w\|^2 - \|z\|^2 \} - \kappa(\|x\|), \ \forall x, \ \forall w
\tag{9}
\]

Summarizing the argument above, we have the following Lemma 1 which is a technical Lemma for our main results.

**Lemma 1.** For any given \( \gamma > 0 \), if there exist a positive definite function \( V(x) \) \( V(0) = 0 \) and a \( K_c^\infty \) function \( \kappa \), such that the Hamilton-Jacobi Inequality (HJI)

\[
\frac{\partial V}{\partial x} f_2(x) + \frac{1}{2\gamma^2} \frac{\partial^2 V}{\partial x^2} g_2^T(x) \frac{\partial^2 V}{\partial x} g_2(x) - \frac{1}{2} h_2^T(x) h_2(x) + \kappa(\|x\|) \leq 0, \ \forall x
\tag{10}
\]

holds, then the system (5) satisfies [P1]-[P3].

**Proof.** It is easy to show that \( V(x) \) satisfies HJI (10) if and only if \( V(x) \) satisfies the dissipation inequality (9). Thus, [P1] and [P2] follow from (7) and (8), respectively. Finally, when \( w = 0 \), following by (7), \( V(x) \) satisfies \( L_2 \) \( \|V(x)\| < 0 \) for all nonzero \( x \). Therefore, the system \( \dot{x} = f_2(x) \) is globally asymptotically stable at equilibrium \( x = 0 \), i.e. [P3] is satisfied.

3. Main result

We now consider the robust \( L_2 \) disturbance attenuation problem with ISS in Section 2.

First, we consider the systems (1) with \( n = 1 \), i.e. the state \( x \) is a scalar variable. Suppose the system (1) satisfies the following assumptions.

[A1] There exists a known smooth \( K_c^\infty \) function \( \tilde{c}(\cdot) \) such that \( c(\tilde{c}(\xi)) \leq \tilde{c}(\|\xi\|), \forall \xi \in R^p \). For the zero dynamics of \( \xi \)-subsystem disturbed by \( (d_1, d_2) \)

\[
\dot{\xi} = A_0(\xi + d_1) + d_2
\tag{11}
\]

there exist a positive definite function \( W(\xi) \) and \( K_c^\infty \) functions \( \beta_1(\cdot), \beta_2(\cdot), \beta_3(\cdot) \) such that

\[
\frac{\partial W(\xi)}{\partial \xi} [A_0(\xi + d_1) + d_2] \leq -\beta_1(\|\xi\|) + \beta_2(\|d_1\|) + \beta_3(\|d_2\|), \ \forall d_1, d_2
\tag{12}
\]

holds, where \( A_0(\xi) := A(\xi) - bc(\xi) \).

This assumption means that the zero dynamics of \( \xi \)-subsystem is ISS with respect to the inputs \( (d_1, d_2) \).

[A2] There exist constants \( g_0 > 0 \) and \( \tilde{g}_l > 0 \) such that the following inequalities hold

\[
g_2(x) \geq g_0, \quad \|g_1(x)w\| \leq \tilde{g}_l \|w\|, \ \forall x
\tag{13}
\]

Now, we employ the change of coordinate used in (129)

\[
\tilde{\xi} = \xi - b \int_0^z g_2^{-1}(s) ds
\tag{14}
\]

to transform the system (1) into the following form
\[
\begin{aligned}
\dot{x} &= f(x) + g_1(x)w + g_2(x)(u + y_0) \\
\dot{z} &= h(x) \\
y_0 &= c(\xi + b \int_0^x g_2^{-1}(s)ds) \\
\dot{\xi} &= A_0(\xi + d_1) + d_2
\end{aligned}
\]  \hspace{1cm} (15)

where
\[
\begin{aligned}
d_1 &= b \int_0^x g_2^{-1}(s)ds \\
d_2 &= -bg_2^{-1}(x)[f(x) + g_1(x)w]
\end{aligned}
\]

Moreover, according to the assumptions [A1] and [A2], function \(c(\cdot)\) satisfies the following inequality
\[
\begin{aligned}
\|c(\xi + b \int_0^x g_2^{-1}(s)ds)\| &\leq c(\|\xi + b \int_0^x g_2^{-1}(s)ds\|) \\
&\leq k_1(\|\xi\|) + k_2(\|x\|), \quad \forall x
\end{aligned}
\]  \hspace{1cm} (16)

where \(k_1(\cdot)\) and \(k_2(\cdot)\) are \(K_\infty\) functions defined by
\[
\begin{aligned}
k_1(\|\xi\|) &= c(2\|\xi\|) \quad k_2(\|x\|) = c\left(\frac{2}{g_0}\|x\|\right).
\end{aligned}
\]

For the system (15), we now consider the feedback control law (3). Denote \(f_\circ(x) = f(x) + g_2(x)\alpha(x)\).

Obviously, there exists a smooth class \(K_\infty\) function \(f(\cdot)\) such that the following inequality holds
\[
\|b[f(x)g_2^{-1}(x)]\| \leq b_0\|f(x)\|, \quad \forall x
\]

Define a \(K_\infty\) function \(\beta_2(\cdot)\) as follows
\[
\beta_2(\|x\|) = \beta_2(g_0^{-1}b_0|x|) + 2b_0^2f(\|x\|) \quad \cdots (17)
\]

where \(l\) is a positive scalar. Then, we can find \(K_\infty\) functions \(\nu(\cdot)\) and \(\kappa(\cdot)\) satisfying
\[
\begin{aligned}
\nu(2k_1(\|\xi\|)) &\geq \frac{\gamma_1^2}{4\rho}\beta_1(\|\xi\|) - \epsilon_1\|\xi\|^2, \quad \forall \xi \hspace{1cm} (18) \\
\kappa(\|x\|) &\geq \frac{\gamma_2^2}{4\rho}\beta_1(\|x\|) + \nu(2k_2(\|x\|)) + \epsilon_2\|x\|^2, \quad \forall x \hspace{1cm} (19)
\end{aligned}
\]

where \(\epsilon_1 > 0\) and \(\epsilon_2 > 0\) are sufficient small constants, \(\rho\) is a positive constant.

**Lemma 2.** Suppose that there exists a scalar \(l > 0\) such that the \(K_\infty\) function \(\beta_3(\cdot)\) satisfies
\[
\beta_3(\|d_3\|) \leq l\|d_3\|^2 \quad \cdots \quad (20)
\]

Consider the closed-loop system (15) with (3). If for any given \(\gamma > 0\), there exists a positive definite function \(U(x)\) such that the dissipation inequality
\[
\begin{aligned}
\partial U(x) \left[ f_\circ(x) + g_1(x)w + g_2(x)y_0 \right] &\leq \frac{\gamma_1^2}{2}\|w\|^2 - \frac{\gamma_2^2}{2}\|z\|^2 + \nu(\|y_0\|) - \kappa(\|x\|), \quad \forall x
\end{aligned}
\]  \hspace{1cm} (21)

holds for any \(w\), then the closed-loop system satisfies [P1]-[P3] for all admissible uncertainty.

**Proof.** By the assumption (12), we have
\[
\begin{aligned}
\dot{W}(\xi) &\leq -\beta_1(\|\xi\|) + \beta_2(l\|d_3\|^2) + \|b\|d_2 \quad (15) \\
&\leq -\beta_1(\|\xi\|) + \beta_2\left(\left\|b\int_0^x g_2^{-1}(s)ds\right\|\right) + \|b\|d_2
\end{aligned}
\]

Moreover, from the assumption (13), we have
\[
\begin{aligned}
\|b\int_0^x g_2^{-1}(s)ds\| &\leq \frac{1}{g_0}b_0\|x\|, \\
\|bg_2(x)g_2^{-1}(x)w\| &\leq \frac{1}{g_0}b_0\|w\|
\end{aligned}
\]

Hence, by (17) and choosing \(\rho = 2\frac{\gamma_1^2}{4\rho}b_0^2\), we get
\[
\dot{W}(\xi) \leq -\beta_1(\|\xi\|) + \beta_2(\|x\|) + \rho\|w\|^2 \quad \cdots \quad (22)
\]

Note that the closed-loop system can be represented by
\[
\dot{x} = F(\bar{x}, w) \quad \cdots \quad (23)
\]

where
\[
\bar{x} = \left[ \begin{array}{c} \xi \\ x \end{array} \right], \quad F(\bar{x}, w) = \left[ \begin{array}{c} A_0(\xi + d_1) + d_2 \\ f_\circ(x) + g_1(x)w + g_2(x)y_0 \end{array} \right]
\]

For the given \(\gamma > 0\), choose a positive definite function
\[
V(\bar{x}) = \frac{\gamma_2^2}{4\rho}W(\xi) + U(x) \quad \cdots \quad (24)
\]

as a candidate of the storage function for the closed-loop system (23). Using (21) and (22), the time derivative of \(V\) along any trajectories of (23) satisfies
\[
\dot{V} = \left[ \begin{array}{c} \frac{\gamma_2^2}{4\rho}W \frac{\partial W}{\partial \xi} \\ \frac{\gamma_2^2}{4\rho}U \frac{\partial U}{\partial x} \end{array} \right] = \left[ \begin{array}{c} A_0(\xi + d_1) + d_2 \\ f_\circ(x) + g_1(x)w + g_2(x)y_0 \end{array} \right]
\]

Substituting (16) into (25) obtains
\[
\begin{aligned}
\dot{V} &\leq -\frac{\gamma_1^2}{4\rho}\beta_1(\|\xi\|) + \frac{\gamma_2^2}{4\rho}\beta_1(\|x\|) + \frac{\gamma_2^2}{2}\|w\|^2 \\
&\quad + \frac{1}{2}\|z\|^2 + \nu(\|y_0\|) - \kappa(\|x\|) \\
&\leq \left\{ -\frac{\gamma_1^2}{4\rho}\beta_1(\|\xi\|) + \nu(2k_1(\|\xi\|)) \right\} + \frac{\gamma_2^2}{2}\|w\|^2 - \frac{1}{2}\|z\|^2 \\
&\quad + \left\{ -\frac{\gamma_2^2}{4\rho}\beta_2(\|x\|) + \nu(2k_2(\|x\|)) \right\} \cdot \cdots (26)
\end{aligned}
\]

It is easy to show that by choosing \(K_\infty\) functions \(\nu(\cdot)\) and \(\kappa(\cdot)\) satisfying (18) and (19), respectively, the inequality
\[
\dot{V} \leq \frac{1}{2}\left\{ \gamma_2^2\|w\|^2 - \|z\|^2 \right\} - \kappa(\|\bar{x}\|) \quad \cdots \quad (27)
\]

holds, where \(\kappa(\|\bar{x}\|) = c\|\bar{x}\|^2\) and \(c \leq \min\{\epsilon_1, \epsilon_2\}\).

Therefore, by Lemma 1 we conclude that the closed-loop system satisfies [P1]-[P3] for all admissible uncertainty.

Remark 1. Note that the uncertain dynamics in (15) is driven by both of the disturbance \(w\) and the state \(x\). As is shown by (22), under the assumption [A1], the zero
dynamics is also ISS with respect to $x$ and $w$. Furthermore, if there exist constants $\mu_1 > 0$ and $\mu_2 > 0$ such that $\mu_1 |\xi|^2 \leq \beta_1 (||\xi||)$ and $\beta_2 (||x||) \leq \mu_2 ||x||^2$ hold, then, along any trajectories of the zero dynamics, we have

$$W \leq -\mu_1 ||\xi||^2 + \mu_3 \left\| \begin{bmatrix} w \\ x \end{bmatrix} \right\|^2$$

where $\mu_3 \geq \max \{\mu_2, \rho\}$. This means that the zero dynamics has finite $L_2$ gain from $[w \ x]^T$ to $\xi$, which is less than $\sqrt{\mu_3/\mu_1} > 0$. This kind of uncertainty with finite $L_2$ gain has been addressed by many papers to solve robust stabilization problem. However, we do not require the uncertain dynamics to have finite $L_2$ gain, though our goal contains the asymptotic stability $[P3]$. Using the condition given in Lemma 2, a solution of the robust $L_2$ disturbance attenuation problem with ISS can be found for the system (1).

**Theorem 1.** Consider nonlinear system (1) satisfying assumptions $[A1]$ and $[A2]$, and suppose that there exists a positive constant $\epsilon$ such that

$$\epsilon^2 ||y_0||^2 \leq \nu (||y_0||), \ \forall y_0 \cdots \cdots \cdots \cdots (28)$$

holds. For any given $\gamma > 0$, if there exists a solution $U(x) > 0$ ($U(0) = 0$) to $HJ$ equations

$$\frac{\partial U}{\partial x} f(x) + \epsilon^2 \frac{\partial U}{\partial x} g_1(x) g_1^T(x) \frac{\partial U}{\partial x} + 2\epsilon h^T(x) h(x)$$

$$- \frac{1}{2\epsilon^2} \frac{\partial U}{\partial x} g_2(x) g_2^T(x) \frac{\partial U}{\partial x} + \lambda(||x||) \leq 0 \cdots \cdots (29)$$

then a solution of the robust $L_2$ disturbance attenuation problem with ISS is given by

$$u = \alpha(x) = -\epsilon^2 g_2^T(x) \frac{\partial U}{\partial x}(x) \cdots \cdots \cdots \cdots (30)$$

where $\nu(\cdot)$ and $\lambda(\cdot)$ are any $C_\infty$ functions satisfying (18) and (19), respectively.

**Proof.** Note that the closed-loop system of (1) with the controller (30) is described by (23). We will show that under the condition (28), the positive solution $U(x)$ in (29) will satisfy the condition in Lemma 2.

Using (29), a straightforward calculation gives

$$\frac{\partial U}{\partial x} \{f(x) + g_2(x) \alpha(x) + g_1(x) w + g_2(x) y_0\}$$

$$\leq -\frac{1}{2} \frac{\partial U}{\partial x} g_1(x) g_1^T(x) \frac{\partial U}{\partial x} - 2\epsilon h^T(x) h(x)$$

$$+ \frac{1}{2\epsilon^2} \frac{\partial U}{\partial x} g_2(x) g_2^T(x) \frac{\partial U}{\partial x} - \lambda(||x||)$$

$$+ \frac{\partial U}{\partial x} \{g_2(x) \alpha(x) + g_1(x) w + g_2(x) y_0\}$$

$$\leq \frac{\gamma^2}{4} ||w||^2 + \frac{\epsilon^2}{2} ||y_0||^2 + \frac{1}{\epsilon^2} \frac{\partial U}{\partial x} g_2(x) g_2^T(x) \frac{\partial U}{\partial x}$$

$$+ \frac{\partial U}{\partial x} g_1(x) \alpha(x) - \frac{1}{2} ||z||^2 - \lambda(||x||)$$

Taking (28) and (30) into account we obtain

$$\frac{\partial U}{\partial x} \{f(x) + g_2(x) \alpha(x) + g_1(x) w + g_2(x) y_0\}$$

$$\leq \frac{1}{\epsilon^2} \left\{ \frac{\gamma^2}{2} ||w||^2 - \frac{\epsilon^2}{2} ||y_0||^2 \right\} + \nu(||y_0||) - \lambda(||x||) \cdots \cdots (31)$$

Therefore, by Lemma 2 we conclude that the closed-loop system satisfies $[P1] - [P3]$. We now consider the robust stabilization problem for the system (1) with $w = 0$. As specified in $P3$, Theorem 1 presents a robust stabilizing controller. In fact, the robust stability follows by the small gain theorem, because if $U(x)$ satisfies (29) and $w = 0$, then $U(x)$, as shown in (31), will satisfy the following dissipation inequality

$$\frac{\partial U}{\partial x} \{f(x) + g_2(x) \alpha(x) + y_0\} \leq \nu(||y_0||) - \lambda(||x||) \cdots \cdots (32)$$

The inequality (32) is nothing but a gain condition for the $x$-subsystem of (15) from the input $y_0$ to the output $x$. Thus, the small gain condition (21) is satisfied with the gain constraint on the $\zeta$-subsystem described by $[A1]$.

Obviously, we can obtain a robust controller by directly constructing a function $U(x)$ that satisfies (32). As a special case, if we choose a candidate for the storage function $U(x)$ as

$$U(x) = \frac{1}{2} x^2 \cdots \cdots \cdots \cdots (33)$$

then a robust stabilizing controller can be given as follows.

**Corollary 1.** Consider the system (1) with $w = 0$. Suppose the uncertainty satisfies $[A1]$ and $[A2]$. If there exists a positive constant $\epsilon > 0$ such that the inequality (28) holds, then a feedback controller which renders the closed-loop system robust asymptotically stable is given by

$$u = \alpha(x) = -g_2^{-1}(x)(f(x) + \bar{\zeta}(x)) - \frac{1}{2\epsilon^2} g_2^T(x) x \cdots \cdots (34)$$

where $\bar{\zeta}(x)$ is a function satisfying $\lambda(||x||) = \bar{\zeta}(x) x$.

**Proof.** Let $U(x)$ be given by (33). Along any trajectory of the system (1) when $w = 0$, we have

$$\frac{\partial U(x)}{\partial x} \{f(x) + g_2(x) \alpha(x) + g_2(x) y_0\}$$

$$\leq \frac{1}{\epsilon^2} \frac{\partial U}{\partial x} g_2(x) g_2^T(x) \frac{\partial U}{\partial x} + \frac{1}{2\epsilon^2} ||y_0||^2 \cdots \cdots (35)$$

Substituting (34) into (35) and considering the inequality (28), we can obtain the inequality (32). Moreover, using the same argument as the proof of Lemma 2, the robust stability of the closed loop system is guaranteed by the Lyapunov function

$$V(x, \xi) = \frac{\gamma^2}{4\rho} W(\xi) + \frac{1}{2} x^2 \cdots \cdots \cdots \cdots (36)$$

since in this case we can easily show that

$$\dot{V} \leq -\bar{\zeta}(||\bar{z}||) \cdots \cdots \cdots \cdots (37)$$

**Remark 2.** It should be noted that the same Lyapunov function (33) has been employed by $[23]$ to construct a robust stabilizing controller for system (1) when $w = 0$, and the robust stability follows also by the small gain theorem. In $[23]$ a robust stabilizing controller is given by
\[ u = -x \sigma(x) - 2 \gamma^{-1}(\|x\|) \text{sgn}(x) \quad \cdots \cdots (38) \]

where \( \sigma(x) \) is a smooth function satisfying the inequality
\[ \| - \gamma^{-1}(\|x\|)kx + f(x) \| \leq \| x \| \sigma(x) \]

and \( \gamma(x) \) is a \( K_\infty \) function which represents a gain of the \( z \)-subsystem from the input \( y_0 \) to the output \( x \).

Comparing the controller (38) with (34), it is easy to find that two controllers have almost same gain terms, however, (34) consists of smooth functions only.

We consider the systems (1) with \( n > 1 \), i.e., \( x = [x_1 \ldots x_n]^T \). Suppose that we can define an output \( y = h_0(x) \) such that the nominal system has the relative degree one. Then under certain geometrical conditions \( \phi(z) \), there exists a coordinate transform
\[
\left[ \begin{array}{c}
\xi \\
y
\end{array} \right] = \phi(x) = \left[ \begin{array}{c}
\phi_0(x) \\
h_0(x)
\end{array} \right]
\]
such that the system is transformed to:
\[
\begin{align*}
\dot{\xi} &= f_1(\xi, y) + p_1(\xi, y)w \\
\dot{y} &= f_2(\xi, y) + p_2(\xi, y)w + g(\xi, y)v \\
z &= h(\xi, y) \\
v &= c(\xi) + u \\
\dot{\xi} &= A(\xi) + bu
\end{align*} \tag{39}
\]

where \( \xi \in \mathbb{R}^{n-1} \), \( y \in \mathbb{R} \), \( f_1(\xi, y), p_1(\xi, y), p_2(\xi, y) \) and \( h(\xi, y) \) are smooth vector fields, \( f_2(\xi, y) \) and \( g(\xi, y) \) are smooth functions, \( f_1(0, 0) = 0, f_2(0, 0) = 0, h(0, 0) = 0, g(\xi, y) \neq 0, \forall \xi, y \).

In the following, we address the robust \( L_2 \) disturbance attenuation problem with ISs for the system (39). Suppose that the input dynamical uncertainty satisfies assumption \([A1]\) and the nominal system of (39) satisfies the following condition.

\[ [A2'] \text{ There exist constants } \hat{\gamma} > 0 \text{ and } \hat{\rho} > 0 \text{ such that the following inequalities hold:} \]
\[ g(\xi, y) \geq \hat{\gamma}, \quad \| p_2(\xi, y)w \| \leq \hat{\rho} \| w \|, \quad \forall \xi, y \tag{40} \]

The change of coordinate
\[ \tilde{\xi} = \xi - b \int_0^y g^{-1}(\xi, s)ds \quad \cdots \cdots (41) \]
transforms the system (39) to the following form
\[
\begin{align*}
\dot{\tilde{\xi}} &= f_1(\xi, y) + p_1(\xi, y)w \\
\dot{\xi} &= f_2(\xi, y) + p_2(\xi, y)w + g(\xi, y)(u + y_0) \\
y_0 &= c(\tilde{\xi}) + b \int_0^y g^{-1}(\xi, s)ds \\
\dot{z} &= h(\xi, y) \\
\dot{\xi} &= A_0(\tilde{\xi} + d_1) + d_2
\end{align*} \tag{42}
\]

where
\[ d_1 = b \int_0^y g^{-1}(\xi, s)ds \\
d_2 = -bg^{-1}(\xi, y)[f_2(\xi, y) + p_2(\xi, y)w]. \]

Observe that the functions \( f_1(\xi, y) \), \( p_1(\xi, y) \) and \( h(\xi, y) \) can be decomposed to
\[
\begin{align*}
f_1(\xi, y) &= f_1(\xi, 0) + f_1'(\xi, y)y \\
p_1(\xi, y) &= p_1(\xi, 0) + p_1'(\xi, y)y \\
h(\xi, y)h(\xi, y) &= h(\xi, 0)h(\xi, 0) + H(\xi, y)y
\end{align*} \tag{43}
\]

Moreover, from assumption \([A2']\), we have
\[ \left\| \frac{b}{\gamma} \int_0^y g^{-1}(\xi, s)ds \right\| \leq \frac{1}{\gamma} \| b \| \| y \|, \]
\[ \left\| \frac{b}{\gamma} g^{-1}(\xi, y)p_2(\xi, y)w \right\| \leq \frac{\hat{\rho}}{\gamma} \| b \| \| w \| \]

and the inequality \[ \left\| bg^{-1}(\xi, y)f_2(\xi, y) \right\| \leq b_0 \| \eta \| \]
holds for some smooth \( K_\infty \) function \( f_2(\cdot) \), \( \eta = [\xi y]^T \).

By choosing \( \hat{\beta}_2(\| \eta \|) = \beta_2(\| \eta \|) + 2 \| b \| \| f_2(\| \eta \|) \| \)
and \( \rho = 2\hat{b}^2 \gamma^{-2} b_0^2 \), the inequality
\[ \frac{\partial W(\xi, y)}{\partial \xi} \xi \leq -\beta_1(\| \xi \|) + \hat{\beta}_2(\| \eta \|) + \rho \| w \|^2 \]
holds for the input uncertainty.

According to assumptions \([A1]\) and \([A2']\), function \( c(\cdot) \) satisfies the following inequality
\[ \| c(\xi) + b \int_0^y g^{-1}(\xi, s)ds \| \leq c(\| \xi \| + b \int_0^y g^{-1}(\xi, s)ds) \]
\[ \leq k_1(\| \xi \|) + k_2(\| y \|), \quad \forall y \cdots \cdots (44) \]

where \( k_1(\cdot) \) and \( k_2(\cdot) \) are \( K_\infty \) functions defined by
\[ k_1(\| \xi \|) = c(2\| \xi \|), \quad k_2(\| y \|) = c(\frac{b}{\gamma} \| y \|). \]

**Theorem 2.** Consider the system (39). Suppose the assumptions \([A1]\) and \([A2']\) hold. For any given \( \gamma > 0 \), there exist a positive definite function \( U_1(\xi) \) such that
\[
\begin{align*}
\nabla U_1^T f_1(\xi, 0) + \frac{1}{\gamma^2} U_1^T p_1(\xi, 0)p_1^T(\xi, 0) U_1^T \nabla U_1^T + h^T(\xi, 0)h(\xi, 0) + k_1(\| \xi \|) &\leq 0, \quad \forall \xi \\
\nabla U_1^T f_2(\xi, 0) + \frac{1}{\gamma^2} U_1^T p_2(\xi, 0)p_2^T(\xi, 0) U_1^T + p_2^2(\xi, 0) &\leq 0, \quad \forall \xi \\
\n\epsilon(\gamma) &\leq k_2(\| \eta \|) + k_2(\| \eta \|)
\end{align*} \tag{45}
\]
holds, then a solution to the robust \( L_2 \) disturbance attenuation problem with ISs is given by
\[ u = \alpha(\xi, y) = g^{-1}(\xi, y) \{ \alpha_1(\xi, y) - f_2(\xi, y) \} \tag{46} \]
where
\[ \alpha_1(\xi, y) = -\frac{\partial U_1}{\partial \xi} f_1(\xi, 0) - \frac{1}{4\gamma^2} g^2(\xi, y)y - H(\xi, y) \\
- \frac{2}{\gamma^2} \| \nabla U_1 \| \| p_1(\xi, 0)p_1^T(\xi, 0) + p_2^2(\xi, 0) \| - \| \nabla U_1 \| \| k_2(\| \eta \|) + k_2(\| \eta \|) \| \tag{47} \]
\[ \epsilon(\gamma) \text{ is any positive constant satisfying (28), } k \text{ is a positive constant, } k_1(\cdot) \text{ and } k_2(\cdot) \text{ are any } K_\infty \text{ functions satisfying the following conditions} \]
\[ k_1(s) \geq 2k_2(2s), \quad k_2(s+k)s^2 \geq 2k_2(2s), \quad \forall s > 0 \tag{48} \]
\[ k_1(\cdot) \text{ is any } K_\infty \text{ function satisfying (19).} \]

**Proof.** Note that the closed-loop system can be represented as follows:
\[
\begin{align*}
\dot{\eta} &= F(\eta) + f_1(\xi, y)w + G(\eta)y_0 \\
y_0 &= c(\xi) + b \int_0^y g^{-1}(\xi, s)ds \\
\dot{z} &= h(\xi, y) \\
\dot{\xi} &= A_0(\tilde{\xi} + d_1) + d_2
\end{align*} \tag{49}
\]
where
\[ F(\eta) = \left[ \begin{array}{c}
f_1(\xi, y) \\
f_2(\xi, y) + g(\xi, y)\alpha(\xi, y)
\end{array} \right], \]

\[ \text{T.IEE Japan, Vol. 122-C, No. 6, 2002} \]

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where has similar structure to (15). Hence, by Lemma 2, we can prove this theorem by constructing such a storage function \( U(\eta) \) that the condition (21) is satisfied for the nominal system. We now consider the storage function of the system as follows

\[
U(\eta) = \frac{1}{2} \left( U_1(\zeta) + \frac{1}{2} y^2 \right)
\]

Then,

\[
L_F U(\eta) + L_P U(\eta) w + L_G U(\eta) y_0 = \frac{1}{2} \partial U_1 \partial \zeta f_1(\zeta, y) + \frac{1}{2} \partial U_1 \partial y p_1(\zeta, y) + \frac{1}{2} y g(\zeta, y) y_0
\]

\[
+ \frac{1}{2} \left[ \partial U_1 \partial \zeta f_1(\zeta, y) + y \partial p_1(\zeta, y) \right] w
\]

\[
+ \frac{1}{2} \left\{ \partial U_1 \partial \zeta f_1(\zeta, 0) + \frac{1}{2} \partial U_1 \partial \zeta p_1(\zeta, 0) w \right\}
\]

\[
+ \frac{1}{2} \left[ \partial U_1 \partial \zeta p_1(\zeta, y) + p_2(\zeta, y) \right] y w
\]

Substituting (45) into (51) and completing the squares by adding and subtracting terms, we obtain

\[
L_F U(\eta) + L_P U(\eta) w + L_G U(\eta) y_0
\]

\[
\leq \frac{1}{2} y \left\{ \partial U_1 \partial \zeta f_1(\zeta, y) + p_1(\zeta, y) y_0 \right\}
\]

\[
- \frac{1}{2} \frac{\partial U_1}{\partial \zeta} p_1(\zeta, 0) p_1^T(\zeta, 0) - \frac{1}{2} \partial U_1 \partial \zeta - \frac{1}{2} \partial U_1 \partial \zeta - \frac{1}{2} \partial U_1 \partial \zeta - \frac{1}{2} \partial U_1 \partial \zeta
\]

\[
+ \frac{1}{2} \frac{\partial U_1}{\partial \zeta} p_1(\zeta, y) + p_2(\zeta, y) \right\} y w
\]

\[
\leq \frac{1}{2} y \left\{ \partial U_1 \partial \zeta f_1(\zeta, y) + \frac{1}{2} \partial U_1 \partial \zeta f_1(\zeta, 0) + \frac{1}{2} \partial U_1 \partial \zeta p_1(\zeta, 0) w \right\}
\]

\[
+ \frac{1}{2} \frac{\partial U_1}{\partial \zeta} p_1(\zeta, y) + p_2(\zeta, y) \right\} y w
\]

Taking (47), (28) and (48) into account, we have

\[
L_F U(\eta) + L_P U(\eta) w + L_G U(\eta) y_0
\]

\[
\leq \frac{\gamma^2}{4} \| y \|^2 + \frac{\epsilon^2}{2} \| y_0 \|^2 - \frac{1}{2} \| \zeta \|^2
\]

\[
- \frac{1}{2} \left[ \nu(\| y \|) + \kappa(\| y \|) \right] y^2
\]

\[
\leq \frac{\gamma^2}{4} \| y \|^2 - \frac{1}{2} \| \zeta \|^2 - \nu(\| y_0 \|) - \kappa(\| y \|)
\]

\[
\leq \frac{\gamma^2}{4} \| y \|^2 - \frac{1}{2} \| \zeta \|^2
\]

Therefore, by Lemma 2 we conclude that the closed-loop system satisfies [P1] – [P3].

**Remark 3.** In order to satisfy the condition (28), the parameter \( \epsilon \) should be chosen as small as possible. And, to satisfy the condition (48) the parameter \( k \) should be chosen as large as possible. However, a small \( \epsilon \) and a large \( k \) will make gain of the controller (30) and (46) higher. It is a trade-off to selecting suitable values for the parameters. Unfortunately, it should be noted that there is no general procedure in the trade-off.

**Remark 4.** Suppose that the nominal system is minimum-phase, i.e. the zero dynamics of the nominal system \( \zeta = f_1(\zeta, 0) \) is globally asymptotically stable at \( \zeta = 0 \), then, by inverse Lyapunov theorem, there exists a Lyapunov function \( U_1(\zeta) \) satisfying \( L_{f_1(\zeta, 0)} U_1(\zeta) < 0 \), \( \forall \zeta \neq 0 \). Furthermore, if there exists a positive definite function \( Q(\zeta) \) such that

\[
L_{f_1(\zeta, 0)} U_1(\zeta) < -Q(\zeta), \quad \forall \zeta \quad \cdots \cdots \cdots (52)
\]

holds, and

\[
h^T(\zeta, 0) h(\zeta, 0) + \kappa(\| \zeta \|) \leq Q(\zeta), \quad \forall \zeta
\]

is satisfied, then we have

\[
L_{f_1(\zeta, 0)} U_1(\zeta) + h^T(\zeta, 0) h(\zeta, 0) + \kappa(\| \zeta \|) \leq 0, \quad \forall \zeta \quad (53)
\]

This is a special case of (45) when \( p_1(\zeta, 0) = 0 \). It means that if the zero dynamics is not forced directly by the disturbance \( w \), and there exist a Lyapunov function \( U_1(\zeta) \) and \( Q(\zeta) \) satisfying (52) and (53), then a desired storage function satisfying the condition in Theorem 2 can be easily constructed based on the Lyapunov function \( U_1(\zeta) \).

In fact, in the case where the zero dynamics is exponentially stable at \( \zeta = 0 \), it is easy to find such a Lyapunov function \( U_1 \).

**Remark 5.** As is shown in Lemma 2, if the nominal system with dynamical uncertainty has the structure shown by (15), then a sufficient condition such that the closed-loop system satisfies [P1] – [P3] can be given by a storage function satisfying the dissipative inequality (21) according to the nominal system. The result of Theorem 2 presents a step-by-step constructive way for the storage function when the nominal system has relative degree one. In fact, this recursive design method can be extended to more general system, which has relative degree \( r > 1 \). For example, consider the nonlinear systems with the following form.
\[
\begin{align*}
\dot{\xi} &= f_1(\zeta, \eta_1) \\
\eta_1 &= \eta_2 + \phi_1(\zeta, \eta_2)w \\
\eta_2 &= \eta_3 + \phi_2(\zeta, \eta_2)w \\
&\vdots \\
\eta_r &= f_r(\zeta, \eta_r) + \phi_r(\zeta, \eta_r)w + g(\zeta, \eta_r)v \\
y &= \eta_1 \\
z &= h(\zeta, \eta_r) \\
v &= c(\xi) + u \\
\dot{\xi} &= A(\xi) + bu
\end{align*}
\]

where \(\eta^T = [\eta_1, \eta_2, \ldots, \eta_r] (1 \leq i \leq r), \zeta \in \mathbb{R}^{n-r}, f_i(\zeta, \eta_i), \phi_i(\zeta, \eta_i) \) and \(h(\zeta, \eta_r)\) are smooth vector fields, \(f_r(\zeta, \eta_r)\) and \(g(\zeta, \eta_r)\) are smooth functions, \(f_i(0,0) = 0, f_r(0,0) = 0, h(0,0) = 0\). If there exists a Lyapunov function \(V_1(\zeta)\) satisfies the condition in Remark 4, the storage function can be constructed by recursive way based on the \(U_1(\zeta)\).

As is well-known, if the nominal system (2) has relative degree \(r > 1\), then under appropriate geometrical conditions, the system (1) is feedback equivalent to the system (54).

4. Numerical example

Consider a system given by
\[
\begin{align*}
\dot{x}_1 &= -x_1^2 + x_2 + (x_1 + 2x_2)w \\
\dot{x}_2 &= x_2 + 0.5x_1^2 + (1 + \sin(x_1 + x_2))w + (1 + x_1^2)v \\
z &= x_1^2 \\
\dot{\xi} &= A(\xi) + bu \\
v &= c(\xi) + u
\end{align*}
\]

It is easy to check that the nominal system has relative degree one. Let the disturbance attenuation level is given by \(\gamma = 10\). We will design a state feedback controller for (55) such that the closed-loop system satisfies \([P1] - [P3]\) for all admissible uncertainty.

Using the notation of \((39), p_2(x_1, x_2) = 1 + \sin(x_1 + x_2), g(x_1, x_2) = 1 + x_1^2\). Hence, it is easy to check \(p_2(x_1, x_2) \leq 2, g(x_1, x_2) \geq 1\), so that Assumption \([A2']\) is satisfied.

Choosing \(\kappa_1(||x_1||) = 15x_1^2\), then \(U_1(x_1) = 10x_1^2\) satisfies (45). According to Theorem 2 and choosing \(\varepsilon = 0.44, \kappa_2(||x_2||) = 5x_2^2, k = 71.8476\), a desired state feedback controller is designed as
\[
u = \alpha(x_1, x_2) = \frac{1}{1 + x_1^2}[\alpha_1(x_1, x_2) - x_2 - \frac{x_1^2}{2}] (56)
\]

where
\[
\alpha_1(x_1, x_2) = -20x_1 - \frac{1}{50}x_2[400x_1^2 + (1 + \sin(x_1 + x_2)) \cdot 2] - \frac{1}{4}x_2 - 1.9213(1 + x_1^2)x_2 - \frac{5}{2}x_2^2 + 71.8476x_2
\]

Suppose the uncertainty is described by
\[
\begin{align*}
A(\xi) &= \begin{bmatrix} -2\xi_1 - \xi_2^3 + \xi_2 \\ -\xi_2 \end{bmatrix}, \quad b = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \\
c(\xi) &= \xi_1 + \frac{-\xi_2^2 + 2\xi_2^3}{1 + \xi_2^2}
\end{align*}
\]

By the change of coordinates
\[
\begin{align*}
\xi_1 &= \xi_1 \\
\xi_2 &= \xi_2 - \int_0^{x_2} \frac{1}{1 + x_1^2}ds
\end{align*}
\]

the input uncertainty is transformed into the form (11), where
\[
\begin{align*}
d_1 &= \begin{bmatrix} 0 \\ -\xi_2 \\ 0 \\ 0 \end{bmatrix} \\
d_2 &= \begin{bmatrix} 0 \\ x_2 + 1/2x_2^2 - 1 + \sin(x_1 + x_2) \\ 1 + x_1^2 \\ 1 + x_1^2 \end{bmatrix} \\
A_0(\xi) &= \begin{bmatrix} -2\xi_1 - \xi_2^3 + \xi_2 \\ -\xi_2 - \xi_1 + \frac{-\xi_2^2 + 2\xi_2^3}{1 + \xi_2^2} \end{bmatrix}
\end{align*}
\]

If we construct a positive function \(W(\xi) = \frac{1}{2}\xi_1^2 + \frac{1}{2}\xi_2^2\), then, it is easy to show that the input uncertainty satisfies Assumption \([A1]\), where \(\hat{\epsilon}(||\xi||) = 2\sqrt{2}||\xi||, \beta_1(||\xi||) = 2||\xi||^2, \beta_2(||d_1||) = 7||d_1||^2\) and \(\beta_3(||d_2||) = ||d_2||^2\). Also, the storage function of the system (55) is\( V(x_1, x_2, \xi_1, \xi_2) = \frac{25}{8}W(\xi) + \frac{1}{2}(U_1(x_1) + \frac{1}{2}x_2^2) \)

Simulation results of the system (55) with the controller (56) and the uncertainty (57) are shown in Fig.1 and Fig.2. Fig.1 indicates that the closed-loop system is asymptotically stable when the initial state \(x_1(0) = 1, x_2(0) = 1\) and disturbance input \(w = 0\). While the disturbance input \(w = 2\sin\beta\) and the initial state \(x_1(0) = 0, x_2(0) = 0\), the boundedness of the states is demonstrated in Fig.2.

5. Conclusions

In this paper, we address the robust \(L_2\) disturbance attenuation problem for nonlinear systems with input dynamical uncertainty. The uncertainty is restricted to be minimum-phase and relative degree zero. First, a sufficient condition is given based on a dissipation inequality such that the nonlinear systems satisfy \(L_2\) gain performance and ISS property for all admissible uncertainty. The dissipation inequality is derived for the nominal system. Using this condition, a smooth state feedback control law is given, which solves the robust \(L_2\) disturbance attenuation problem with ISS. Moreover, the design approach is extended to the case where the nominal system has higher relative degree by using the recursive method. Finally, a numerical example demonstrates the proposed approach.

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Fig. 1. \(\omega = 0\), the state and control signal


Fig. 2. \(\omega = 2\sin 3t\), the state and control signal


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