

# Microstrip Filters with a Loaded Capacitance Containing a Light-Induced Plasma Region

Mitsutoshi Murata\* Member  
 Toshiaki Kitamura\*\* Member  
 Masahiro Geshiro\*\* Non-member

In this paper, we propose microstrip filters with a loaded capacitance of which frequency band can be changed by light-induced plasma in a silicon chip buried in part of a substrate. We analyze the frequency characteristics of the filters by using the (FD)<sup>2</sup>TD method.

**Keywords:** microstrip filter, loaded capacitance, plasma region, (FD)<sup>2</sup>TD method

## 1. Introduction

High-resistivity semiconductors have been expected to be used as substrate materials for microwave integrated circuits<sup>(1)~(4)</sup>. The light-illumination of which photon energy is larger than the bandgap energy of the semiconductor induces electron-hole plasma in a light-illuminated area. The small region filled with photo-excited electron-hole plasma, as distinct from the surrounding region, has a complex permittivity and hence modulates microwaves propagating through the area<sup>(5)~(7)</sup>. This property has been widely examined for the control of milli-meter-wave propagation along semiconductor waveguides with application to antennas, high-speed switches, phase shifters, modulators, and filters. We have proposed and studied microstrip filters of which resonator has light-induced plasma regions on its both ends<sup>(8)</sup>. However, this filter has a shortcoming that the insertion loss increases by light-illumination. In this study, we propose a new type of microstrip filters with a loaded capacitance containing a light-induced plasma region and investigate their filtering characteristics by using the (FD)<sup>2</sup>TD (Frequency Dependent Finite Difference Time Domain) method<sup>(9)</sup>. The proposed filter has a benefit that the deterioration of the insertion loss by light-illumination can be suppressed by setting the plasma region apart from the area where electromagnetic fields concentrate.

The FDTD (Finite Difference Time Domain) method was first proposed by K.S.Yee and has been applied by many investigators to the solution of various electromagnetic problems. In using this method, Maxwell's equations are discretized both in time and space. The FDTD method shows great promise in its flexibility in handling a wide variety of circuit configurations<sup>(10)~(14)</sup>. Broad-band pulses, if used as the initial condition for

excitation, enable us to calculate the frequency-domain parameters over the entire frequency range of interest.

## 2. Analysis

The configuration of the microstrip filter with a loaded capacitance containing a light-induced plasma region is shown in Fig.1. The main part of the filter is printed on the bottom dielectric layer, on which the top dielectric layer is laminated. A thin rectangle of silicon chip,  $L = 1.0\text{mm}$  long and  $W = 1.2\text{mm}$  wide, buried into the top dielectric layer bridges two electrodes one of which is grounded as shown in Fig.1. Light beam illuminates the chip on the region with  $1.0 \times 1.2\text{mm}^2$ , inducing photo-excited plasma. Here, the thickness of the plasma  $t_p$  is assumed to be  $0.2\text{mm}$ . In this analysis, the equipment of light beam emission is not taken into consideration for convenience of analysis. Light-illumination can change the filter characteristics because the loaded capacitance is varied through the light-induced plasma region. The parameters of the structure used in numerical calculations are as follows:

width of the microstrip conductor:  $1.2\text{mm}$ ,  
 thickness of the first substrate layer:  $1.2\text{mm}$ ,  
 thickness of the second dielectric layer:  $0.3\text{mm}$ ,  
 dielectric constant of the substrate:  $\epsilon_r = 4.4$ ,  
 length of the microstrip conductors:  $12.0\text{mm}$ ,  
 space between microstrip conductors:  $0.8\text{mm}$ .

The relative complex permittivity of the semiconductor is given by

$$\epsilon_r = \epsilon_s - \sum_{i=e,h} \left[ \frac{\omega_{pi}^2}{\omega^2 + \nu_i^2} + j \frac{\nu_i}{\omega} \frac{\omega_{pi}^2}{\omega^2 + \nu_i^2} \right] \dots \quad (1)$$

$$\omega_{pi} = \left[ \frac{ne^2}{\epsilon_0 m_i^*} \right]^{\frac{1}{2}} \quad (i = e, h) \dots \quad (2)$$

where  $\omega$  is the angular frequency of the electromagnetic fields,  $e (= 1.60 \times 10^{-19}\text{C})$  the elementary charge,

\* Wakayama National College of Technology  
 77, Noshima, Nada-cho, Gobou, Wakayama 644-0023  
 \*\* Osaka Prefecture University  
 1-1, Gakuen-cho, Sakai, Osaka 599-8531

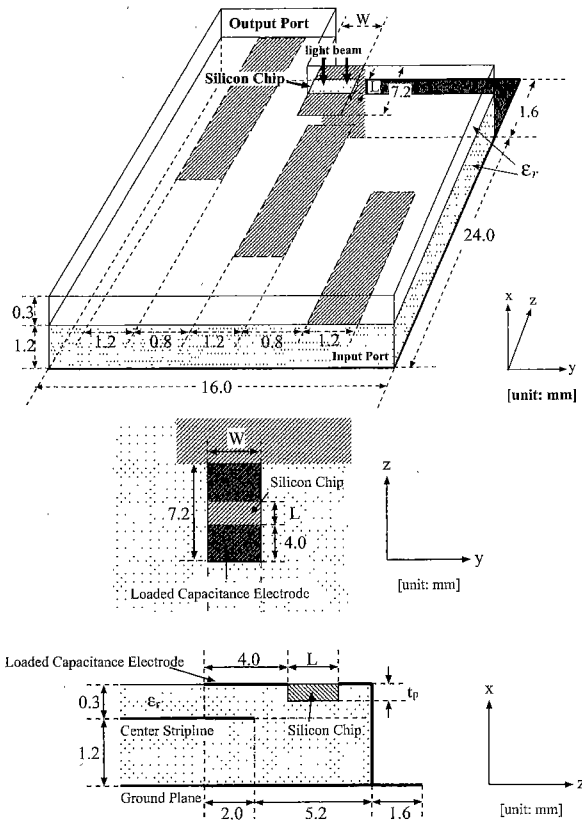


Fig. 1. Microstrip filters with a loaded capacitance containing a light-induced plasma region.

$\epsilon_s (= 11.8)$  the permittivity of the semiconductor without plasma,  $m_e (= 0.259m_0)$  the effective mass of an electron,  $m_h (= 0.38m_0)$  the effective mass of a hole,  $m_0 (= 9.11 \times 10^{-31}\text{kg})$  the rest mass of an electron,  $\nu_e (= 4.53 \times 10^{12}\text{s}^{-1})$  the collision angular frequency for electrons,  $\nu_h (= 7.31 \times 10^{12}\text{s}^{-1})$  the collision angular frequency for holes and  $n$  the plasma density. We set the plasma density as  $n = 1.0 \times 10^{22}/\text{m}^3$  that has been estimated from experiments<sup>(1) (4) (5)</sup> and is used commonly in numerical simulations.

In the analysis, we set up uniform grids with a difference  $\Delta = 0.100\text{mm}$  in space, and the time step  $\Delta t = 2.22 \times 10^{-13}\text{sec}$ . Three dimensions of the whole structure are  $40\Delta \times 160\Delta \times 256\Delta$ . We excite the input port at  $z = 12\Delta$  with a Gaussian pulse in time. It is uniform on the transverse plane under the microstrip conductor and  $E_x$  is the only nonvanishing component of electric fields, expressed as

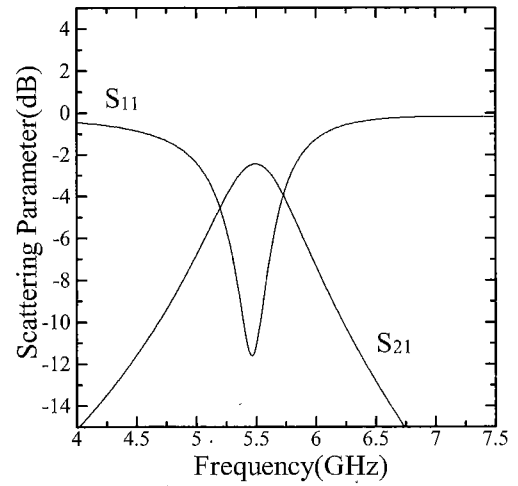
$$E_x(t) = -\frac{2(t-t_0)}{T^2} \exp\left\{-\frac{(t-t_0)^2}{T^2}\right\} \dots\dots\dots (3)$$

where  $t_0 = 200\Delta t$  and  $T = 60\Delta t$ .

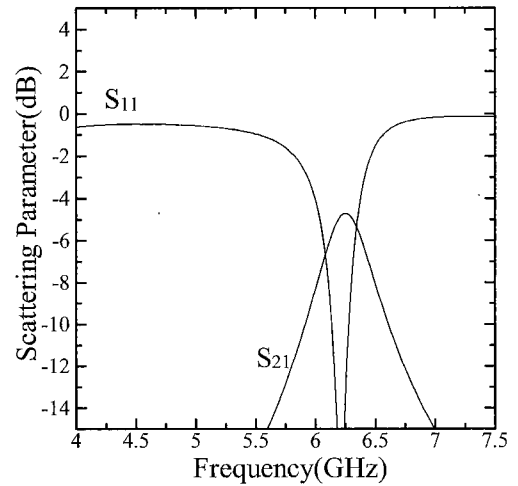
Scattering parameters  $S_{11}$  and  $S_{21}$  are given by

$$S_{11}(f) = \frac{V_{ref}(f, z_1) - V_{inc}(f, z_1)}{V_{inc}(f, z_1)} \dots\dots\dots (4)$$

and



(a)  $nt_p = 0.0/\text{cm}^2$



(b)  $nt_p = 2.0 \times 10^{14}/\text{cm}^2$

Fig. 2. Frequency characteristics of scattering parameters ( $L = 1.0\text{mm}$ ).

$$S_{21}(f) = \frac{V_{trs}(f, z_2)}{V_{inc}(f, z_1)}, \dots\dots\dots (5)$$

respectively, where  $V_{ref}$  is the Fourier transform of the voltage of reflected waves at an observation point in the input port,  $V_{inc}$  the Fourier transform of the voltage of incident waves at the same position, and  $V_{trs}$  the Fourier transform of the voltage of transmitted waves at an observation point in the output port.

Figure 2 shows the frequency characteristics of the scattering parameters when  $nt_p = 0.0/\text{cm}^2$  in (a) and  $2.0 \times 10^{14}/\text{cm}^2$  in (b), where the length  $L$  of a silicon chip is  $1.0\text{mm}$ . This result indicates that the center frequency of pass band is shifted from  $5.49\text{GHz}$  to  $6.25\text{GHz}$  by light-illumination. On the other hand, the insertion loss increases from  $2.45\text{dB}$  to  $4.70\text{dB}$  when illuminated by light.

Next, we change the length  $L$  of a silicon chip in order to suppress insertion losses. Figure 3 shows the frequency characteristics of the scattering parameters when  $L = 0.8\text{mm}$  in (a) and  $0.6\text{mm}$  in (b) and  $0.4\text{mm}$  in (c), where  $nt_p = 2.0 \times 10^{14}/\text{cm}^2$ . It is found that the

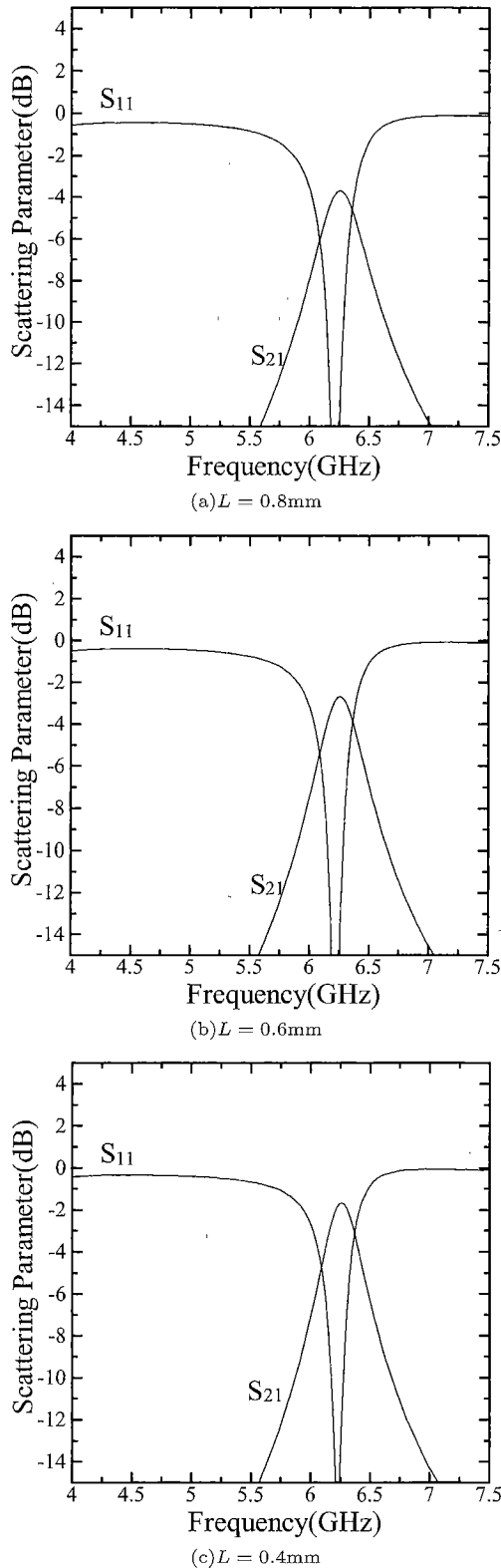


Fig. 3. Frequency characteristics of scattering parameters ( $nt_p = 2.0 \times 10^{14}/\text{cm}^2$ ).

center frequency of pass band is about 6.25GHz in any cases, while the insertion loss changes from 3.70dB ( $L = 0.8\text{mm}$ ) to 1.69dB ( $L = 0.4\text{mm}$ ). These results indicate that we can suppress the insertion loss largely, without reducing the frequency shift, by reducing the length  $L$

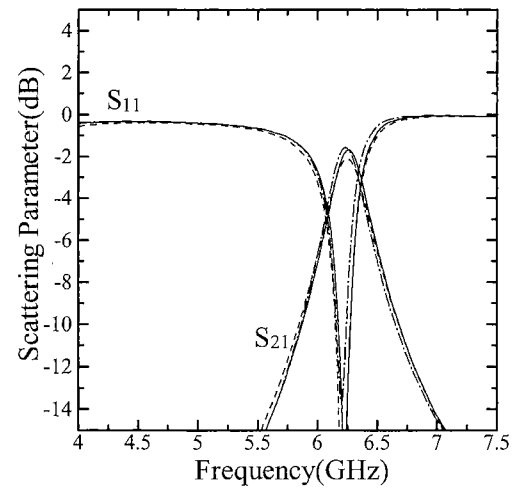


Fig. 4. Frequency characteristics of scattering parameters ( $nt_p = 2.0 \times 10^{14}/\text{cm}^2$ ,  $L = 0.4\text{mm}$ ).

of a silicon chip. This is because the material loss can be reduced by decreasing the Length  $L$  while the total length of the loaded capacitance does not change regardless of  $L$ . It is also expected that the switching speed can be improved as the electrode gap  $L$  becomes narrower.

Finally, we consider to suppress insertion losses of the microstrip filter shown in Fig.1 when light is illuminated. Fig.4. shows the frequency characteristics of the scattering parameters, when  $nt_p = 2.0 \times 10^{14}/\text{cm}^2$  and  $L = 0.4\text{mm}$ , with the width  $W$  of the electrodes and the silicon chip as a parameter:  $W = 0.8\text{mm}$  for the dashed line,  $1.2\text{mm}$  for the solid line and  $1.6\text{mm}$  for the dash-dotted line. It is found that the insertion loss is reduced monotonously as the loaded capacitance electrode broadens and lowest when  $W = 1.6\text{mm}$ . The narrowing electrode of loaded capacitance enhances the edge effect more and more. This, in turn, increases dissipative losses in the induced plasma region. Hence, we have a higher Q factor, as shown in Fig.4, for a narrow electrode of loaded capacitance. It seems possible to suppress the insertion losses by optimizing other parameters of the structure, such as the interval between microstrip lines, the length of electrodes, and so on.

### 3. Conclusion

In this paper, we have proposed a new type of microstrip filters with a loaded capacitance containing a light-induced plasma region and investigate its filter characteristics through (FD)<sup>2</sup>TD simulations. It has been shown that the pass band of frequencies can be controlled by light-illumination and that the insertion loss is reduced by narrowing the gap buried with the silicon chip. The present filter configuration is also expected to have the advantage that the switching speed can be improved as the silicon chip bridging the loaded capacitance electrodes becomes narrower.

(Manuscript received October 15, 2001, revised September 30, 2002)

## References

- (1) K.Ogusu, I.Tanaka, and H.Itoh: "Propagation Properties of Dielectric Waveguides with Optically Induced Plasma Layers", *IEICE Trans.*, **J66-C**, 1, pp.39-46 (1983)
- (2) K.Ogusu and I.Tanaka: "Dielectric Waveguide-Type Millimeter-Wave Modulator Using Photoconductivity", *IEICE Trans.*, **J67-B**, 4, pp.416-423 (1984)
- (3) K.Ogusu: "Strip Line-Type Microwave Modulator Using Photoconductivity", *IEICE Trans.*, **J68-B**, 5, pp.578-585 (1985)
- (4) H.Shimazaki and M.Tsutsumi: "Light-Controlled Microstrip Line Coupler", *IEICE Trans.*, **J72-C-I**, 4, pp.257-262 (1989)
- (5) A.M.Vaucher, C.D.Strffler and C.H.Lee: "Theory of Optically Controlled Millimeter Phase Shifters", *IEEE Trans. Microwave Theory & Tech.*, **MTT-31**, 2, pp.209-216 (1983)
- (6) C.H.Lee, P.S.Mak, and A.P.DeFonzo: "Optical Control of Millimeter-wave Propagation in Dielectric Waveguides", *IEEE Quantum Electron.*, **QE-16**, 3, pp.277-288 (1980)
- (7) W.Platte: "Optoelectronic Microwave Switching via Laser-Induced Plasma Tapers GaAs Microstrip Sections", *IEEE Trans. Microwave Theory & Tech.*, **MTT-29**, 10, pp.1010-1018 (1981)
- (8) M.Murata, T.Kitamura, M.Geshiro, and S.Sawa: "Analysis of Light-Controlled Microstrip Filter", *Bulletin of Osaka Pref. Univ.*, A, **46**, 1, pp.7-10 (1997)
- (9) R.Luebbers, F.Hunsberger, and K.Kunz: "A Frequency-Dependent Finite-Difference Time-Domain Formulation for Transient Propagation in Plasma", *IEEE Trans. Antennas & Propagation*, **AP-39**, 1, pp.29-34 (1991)
- (10) K.S.Yee: "Numerical Solution of Initial Boundary Value Problems Involving Maxwell's Equations in Isotropic Media", *IEEE Trans. Antennas & Propag.*, **AP-14**, 3, pp.302-307 (1966)
- (11) A.Taflov and M.E.Brodwin: "Numerical solution of steady-state electromagnetic scattering problems using the time-dependent Maxwell's equations", *IEEE Trans. Microwave Theory & Tech.*, **MTT-23**, 8, pp.623-630 (1975)
- (12) D.M.Sheen, S.M.Ali, M.D.Abouzhra and J.A.Kong: "Application of the Three-Dimensional Finite-Difference Time-Domain Method to the Analysis of Planar Microstrip Circuits", *IEEE Trans. Microwave Theory & Tech.*, **38**, 7, pp.849-857 (1990)
- (13) X.Zhang and K.K.Mei: "Time-Domain Finite Difference Approach to the Calculation of the Frequency-Dependent Characteristics of Microstrip Discontinuities", *IEEE Trans. Microwave Theory & Tech.*, **MTT-36**, 12, pp.1775-1787 (1988)
- (14) X.Zhang and K.K.Mei: "Calculations of the Dispersive Characteristics of Microstrips by the Time-Domain Finite Difference Method", *IEEE Trans. Microwave Theory & Tech.*, **MTT-36**, 2, pp.263-267 (1990)



**Mitsutoshi Murata** (Member) received the Ph.D. degree from Osaka Prefecture University, Osaka, Japan, in 2002. In April 2002 he joined the Department of Electrical Engineering, Wakayama National College of Technology, Gobou, Japan, where he is now an Assistant Professor. He has been engaged in research and education on microwave engineering. He is a member of the Institute of Electrical Engineering of Japan (IEEJ) and the Institute of Electronics, Information and Communication Engineers (IEICE).

**Toshiaki Kitamura** (Member) received the B.E., M.E., and Ph.D. degrees in electrical communication engineering from Osaka University, Osaka, Japan, in 1989, 1991, and 1994, respectively. In April 1994 he joined the Department of Electrical and Electronic Systems, Osaka Prefecture University, Sakai, Japan, where he is now an Assistant Professor. He has been engaged in research and education on microwave and photonic engineering. Dr. Kitamura is a



member of the Institute of Electrical and Electronics Engineers (IEEE) and the Institute of Electronics, Information and Communication Engineers (IEICE).

**Masahiro Geshiro** (Non-member) received the B.E., M.E., and Ph.D. degrees in electrical communication engineering from Osaka University, Osaka, Japan, in 1973, 1975, and 1978, respectively. From December 1979 to March 1994, he was with the Department of Electrical and Electronic Engineering, Ehime University, Matsuyama, Japan. From March 1986 to January 1987, he was a Visiting Scholar at the University of Texas at Austin, on leave from Ehime University. Since April 1994 he has been an Associate Professor of the Department of Electrical and Electronic Systems, Osaka Prefecture University, Sakai, Japan, where he is engaged in research and education on microwave engineering, optical-wave transmission lines and integrated optics. Dr. Geshiro is a member of the Institute of Electrical and Electronics Engineers (IEEE) and the Institute of Electronics, Information and Communication Engineers (IEICE).

